

#5 2131

PATENT  
Docket No. 360322000900

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on June 12, 2001.

  
C. Wilson

2131

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Daniel S. LIPKIN et al.

Serial No.: 09/759,062

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR AN  
IMPROVED SECURITY SYSTEM  
MECHANISM IN A BUSINESS  
APPLICATIONS MANAGEMENT  
SYSTEM PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2131

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SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 360322000900. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 12, 2001

Respectfully submitted,

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Form PTO/SB/08 (2-92)

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 360322000900

Application Number 09/759,062

Applicant

Daniel S. LIPKIN et al.

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## U.S. PATENT DOCUMENTS

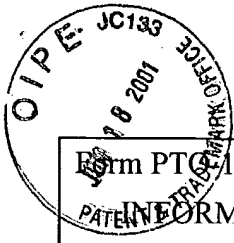
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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/20/87	4,701,130	Whitney et al.			
	2.	1/23/90	4,895,518	Arnold et al.			
	3.	3/30/93	5,199,068	Cox			
	4.	11/9/93	5,259,766	Sack et al.			
	5.	12/7/93	5,267,865	Lee et al.			
	6.	12/14/93	5,270,920	Pearse et al.			
	7.	4/5/94	5,301,270	Steinberg et al.			
	8.	5/10/94	5,310,349	Daniels et al.			
	9.	5/10/94	5,311,422	Loftin et al.			
	10.	7/5/94	5,326,270	Ostby et al.			
	11.	1/10/95	5,381,332	Wood			
	12.	3/7/95	5,395,243	Lubin et al.			
	13.	6/6/95	5,421,730	Lasker, III et al.			
	14.	3/12/96	5,499,293	Behram et al.			
	15.	8/20/96	5,548,506	Srinivasan			
	16.	1/28/97	5,597,312	Bloom et al.			
	17.	8/5/97	5,655,118	Heindel et al.			
	18.	6/2/98	5,761,063	Jannette et al.			
	19.	6/9/98	5,765,140	Knudson et al.			
	20.	8/4/98	5,788,504	Rice et al.			
	21.	8/18/98	5,795,155	Morrel-Samuels			
	22.	10/20/98	5,826,252	Wolters, Jr. et al.			
	23.	11/3/98	5,829,983	Koyama et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



Form PTO/SB-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number	360322000900	Application Number	09/759,062
Applicant Daniel S. LIPKIN et al.			
Filing Date		January 12, 2001	
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Group Art Unit 2131			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	24.	6/19/97	97/22096	PCT				
	25.	2/5/98	98/05018	PCT				
	26.	3/12/98	98/10399	PCT				

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

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